Page 1 UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK - - - - - - - - - - - - - - - x VIACOM INTERNATIONAL INC., COMEDY: PARTNERS, COUNTRY MUSIC TELEVISION, INC., PARAMOUNT PICTURES CORPORATION, and BLACK : ENTERTAINMENT TELEVISION LLC Plaintiffs, : 07CV-2103 YOUTUBE, INC., YOUTUBE, LLC, and GOOGLE, INC., Defendants. THE FOOTBALL ASSOCIATION PREMIER: LEAGUE LIMITED, BOURNE CO., et, al., on behalf of themselves : and all others similarly situated: Plaintiffs, : Case No. v. : 07CV-3582 YOUTUBE, INC., YOUTUBE, LLC, and GOOGLE, INC., Defendants. - - - - - - - - - - - - - - - x ****HIGHLY CONFIDENTIAL**** Videotaped Deposition of DEAN GARFIELD Washington, D.C. Tuesday, November 2, 2009 10:24 a.m. BY: Okeemah S. Henderson, LSR JOB NO. 18039

DAVID FELDMAN WORLDWIDE, INC.

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1	APPEARANCES:	
2	FOR THE PLAINTIFFS VIACOM INTERNATIONAL, INC.:	
3	STUART J. BASKIN, ESQUIRE SHEARMAN & STERLING, LLP	
4	599 Lexington Avenue New York, NY 10022	
5	(212) 848-4000	
6	FOR THE PLAINTIFFS VIACOM INTERNATIONAL, INC.:	
7	MICHAEL B. DESANCTIS, ESQUIRE LUKE C. PLATZER, ESQUIRE	
8	JENNER & BLOCK, LLP 1099 New York Avenue, NW Suite 900	
9	Washington, DC 20001 (202) 639-6000	
10		
11	FOR THE DEFENDANTS YOUTUBE: DAVID H. MCGILL, ESQUIRE MAYER BROWN, LLP	
12	1675 Broadway New York, NY 10019	
13	(212) 506-2507	
14	FOR THE DEFENDANTS THE FOOTBALL ASSOCIATION: LAUREN A. MCMILLEN, ESQUIRE	
15	BERNSTEIN LITOWITZ BERGER & GROSSMANN 1285 Avenue of the Americas	LLP
16	New York, NY 10019 (212) 554-1593	
17		
18	FOR THE WITNESS, DEAN GARFIELD: KELLY M. KLAUS, ESQUIRE MUNGER TOLLES & OLSEN LLP	
19	355 South Grand Avenue, 35th Flr Los Angeles, CA 90071	
20	(213) 683-9238	
21	(213) 333 3236	
	ALSO PRESENT:	
22	Conway Barker, Videographer	
23	Orit Michiel	
24		
25		

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2		I-N-D-E-X	
3		Deposition of DEAN GARFIELD	
4		November 2, 2006	
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7	Mr. M	cGill	58
8	EXHIB	ITS	PAGE
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		Dama A
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		P-R-O-C-E-E-D-I-N-G-S
	2	(10:24 a.m.)
10:23	3	THE VIDEO OPERATOR: This is the
10:23	4	beginning of tape No. 1 in the videotape
10:23	5	deposition of Dean Garfield taken by Mr. Baskin
10:23	6	in the matter of Viacom International
10:23	7	Incorporated, et al versus YouTube,
10:23	8	Incorporated. Case No. 07-CV-2103 and the
10:23	9	Football Association Premier League Limited
10:24	10	Bourne Company, et al. versus YouTube
10:24	11	Incorporated, et al in the United States
10:24	12	District Court for the Southern District of New
10:24	13	York.
10:24	14	This deposition is being held at Jenner &
10:24	15	Block, LLP, 1099 New York Avenue, Northwest
10:24	16	Washington, D.C. on November 2nd, 2009. The
10:24	17	time is approximately 10:24. The Court
10:24	18	Reporter is Okeemah Henderson. The video
10:24	19	camera operator is Conway Barker, both on
10:24	20	behalf of David Feldman Worldwide. Will
10:24	21	counsel please identify yourselves and state
10:24	22	whom you represent.
10:24	23	MR. BASKIN: I am Stuart Baskin of
10:24	24	Shearman & Sterling, and I am counsel for
10:24	25	Viacom in this litigation.

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10:24	1	MR. PLATZER: Luke Platzer of Jenner
10:24	2	& Block, also counsel for Viacom in this
10:24	3	litigation.
10:24	4	MS. MCMILLEN: Lauren McMillen of
10:24	5	Bernstein Litowitz Berger & Grossmann, counsel
10:24	6	for the Football Association Premier League
10:25	7	Limited and other class plaintiffs in this
10:25	8	litigation.
10:25	9	MR. DESANCTIS: Michael DeSanctis of
10:25	10	Jenner & Block with the Viacom plaintiffs.
10:25	11	MR. MCGILL: David McGill from Mayer
10:25	12	Brown, here on behalf of YouTube and Google.
10:25	13	MR. KLAUS: Kelly Klaus from Munger
10:25	14	Tolles & Olsen appearing on behalf of the
10:25	15	witness, and with me is Orit Michiel of the
10:25	16	Motion Picture Association of America.
10:25	17	THE VIDEO OPERATOR: Would you please
10:25	18	swear in the witness.
	19	Whereupon,
	20	DEAN GARFIELD,
	21	called as a witness, having been first duly
	22	sworn to tell the truth, the whole truth, and
	23	nothing but the truth, was examined and
	24	testified as follows:
	25	

DAVID FELDMAN WORLDWIDE, INC.

1 EXAMINATION BY COUNSEL FOR PLAINTIFF

- BY MR. BASKIN:
- 3 | 10:25 Q. Good morning, sir. Could you recite
- $4 \mid 10:25$ your full name for the record?
- 5 10:25 A. Certainly. It is Dean, D-E-A-N,
- 6 | 10:25 Christopher Garfield, G-A-R-F-I-E-L-D.
- $7 \mid 10:25$ Q. And which state do you reside in,
- 8 | 10:26 sir?
- $9 \mid 10:26$ A. I reside in Washington, D.C.
- 10 | 10:26 Q. How are you currently employed?
- 11 10:26 A. I'm employed by the Information
- 12 | 10:26 Technology Industry Council.
- $13 \mid 10:26$ Q. What is your position with the
- 14 | 10:26 Information Technology Industry Council?
- 15 10:26 A. We simply call it ITI. I'm the
- $16 \mid 10:26 \text{ president and CEO}$.
- 17 10:26 Q. And without belaboring, since this
- 18 | 10:26 is not of direct relevance to the suit, can you
- 19 | 10:26 tell us what the ITI does?
- 20 10:26 A. ITI is the premiere voice, advocate,
- 21 | 10:26 thought leader for the information
- $22 \mid 10:26$ communications technology sector. We represent
- 23 | 10:26 the major household name brands before global
- 24 | 10:26 policymakers.
- 25 | 10:26 So our member companies include --

- $1 \mid 10:26$ actually all of the technology in this room is
- $2 \mid 10:26 \text{ made by those companies.}$ So IBM, HP, Dell,
- $^{3}\mid$ $^{10:26}$ Apple, Microsoft just to name a few. We have
- 4 | 10:26 43 members.
- 5 10:26 Q. How long have you been president and
- 6 | 10:27 CEO of ITI?
- $7 \mid 10:27$ A. For about eight months.
- 8 10:27 Q. And prior to that -- strike that.
- 9 10:27 Can you just tell the ladies and gentlemen of
- $10 \mid 10:27$ the jury a little bit about your educational
- 11 | 10:27 background and what degrees you hold?
- 12 10:27 A. I can. How far back do you want me
- 13 | 10:27 to go?
- 14 10:27 Q. I don't think we have to go to
- $15 \mid 10:27$ kindergarten. Why don't we start with college.
- 16 10:27 A. College, I went to Middlebury
- 17 | 10:27 college and I received a BA. Post then went to
- 18 | 10:27 grad school and law school, so Woodrow Wilson
- 19 | 10:27 School at Princeton. I have a masters in
- 20 | 10:27 public administration international affairs and
- $21 \mid 10:27$ jointly with that went to law school at NYU
- $22 \mid 10:27$ where I received my JD.
- 23 | 10:27 Q. Just briefly again, can you walk us
- 24 | 10:27 through your employment crinology after you
- 25 | 10:27 received your educational degrees?

- 1 | 10:27 A. Post my law postgraduate degree, I
- 2 | $^{10:28}$ worked at a firm in New York, Kaye Scholer
- 3 | 10:28 Fierman Hays & Handler, worked there for six
- $^4\mid ^{10:28}$ and a half years approximately.
- $5 \mid 10:28$ O. That would be a law firm?
- 6 10:28 A. Yes. Correct. Post working at Kaye
- 7 10:28 Scholer both in New York and Washington, D.C.,
- 8 | 10:28 I worked at the Recording Industry Association
- 9 | 10:28 of America representing the major U.S. record
- 10 | 10:28 labels.
- 11 | 10:28 After that I moved on to working for the
- 12 | 10:28 Motion Picture Association of America
- $13 \mid 10:28$ representing the major and motion picture
- $14 \mid 10:28$ companies and then about nine months ago, left
- $15 \mid 10:28$ there to work at ITI.
- 16 10:28 Q. Are you currently married, sir?
- 17 | 10:28 A. Yes, I am.
- 18 10:28 Q. Do you have any children?
- 19 10:28 A. Yes, I do. I have two children and
- $20 \mid 10:28 \text{ one wife.}$
- 21 | 10:28 Q. You mentioned that prior to working
- 22 | 10:28 for the Motion Picture Association, which we'll
- $23 \mid 10:28$ get to in a few minutes, you worked for an
- 24 | 10:29 organization called the Recording Industry
- $25 \mid 10:29$ Association. Did that go by initials also?

- $1 \mid 10:29$ A. Yes, it does. It went by and it
- $2 \mid 10:29$ still does go by RIAA.
- 3 | 10:29 Q. In brief again, what was your
- $4 \mid 10:29$ position with the RIAA?
- 5 10:29 A. I think it changed over time but
- $6 \mid 10:29$ essentially I was a litigator and at some
- 7 | 10:29 point, I think I was the head litigator or
- 8 | 10:29 something like that.
- 9 10:29 Q. How long did you remain with the RIA
- 10 | 10:29 RIAA?
- 11 10:29 A. Four years.
- 12 10:29 Q. During the course of this trial, the
- 13 | 10:29 jury will see some documents referencing
- 14 | 10:29 something called Grokster. Could you in brief
- $15 \mid 10:29$ just tell the jury -- do you know what
- 16 10:29 Grokster, what the reference is a court case
- 17 | 10:29 named Grokster?
- 18 10:29 A. Yes, I do.
- 19 10:29 Q. Were you involved with that court
- 20 | 10:29 case?
- 21 10:29 A. Yes, I was.
- 22 10:29 Q. Can you just again, not belaboring
- $23 \mid 10:29$ it, for 30 seconds just advise the ladies and
- $24 \mid 10:29$ gentlemen of the jury what Grokster is so that
- 25 | 10:29 when they hear reference to it they know what

- 1 | 10:29 it means?
- $^{2}\mid ^{10:29}$ A. Grokster was a litigation that was
- $^{3}\mid$ $^{10:29}$ filed jointly by the Recording Industry
- 4 | 10:30 Association and the Motion Picture Association
- $^{5}\mid~^{10:30}$ against a company that developed a software
- 6 10:30 tool that encouraged users to engage in
- 7 | $^{10:30}$ copyright infringement.
- 8 10:30 Q. During your time as principal lawyer
- 9 | 10:30 for the RIAA, did you have responsibilities
- 10 | 10:30 include addressing issues of copyright
- 11 | 10:30 infringement and policy?
- 12 10:30 A. Yes, it did.
- 13 | 10:30 Q. Now, you mentioned after your four
- $14 \mid 10:30$ years or so at the RIAI (sic) you moved over to
- 15 | 10:30 an organization called the Motion Picture
- 16 10:30 Association, correct?
- $17 \mid 10:30$ A. Yes. I worked at the RIAA for about
- $18 \mid 10:30$ four years and then moved to MPAA.
- $19 \mid 10:30$ Q. MPAA and the acronym stands for
- 20 | 10:30 Motion Picture Association of America; is that
- 21 | 10:30 correct?
- 22 10:30 A. Correct.
- 23 | 10:30 Q. What companies comprise the MPAA?
- 24 $^{10:30}$ A. The MPAA consists of the major U.S.
- $25 \mid 10:31$ motion picture companies but they are also the

- 1 | 10:31 global leaders in developing and marketing
- 2 | 10:31 motion pictures.
- $3 \mid 10:31$ Q. I think the jury may be familiar
- $^4\mid 10:31$ with some of the names. Can you just recount
- $5 \mid 10:31$ for us some of the names of members of the
- 6 10:31 MPAA?
- 7 10:31 A. Sure. So our members included
- 8 10:31 Disney, Sony, Warner Brothers, Universal, and I
- 9 | 10:31 suspect that I'm leaving out someone.
- 10 | 10:31 Q. Does Century Fox refresh your
- 11 | 10:31 recollection?
- 12 10:31 A. Thank you. Jim Gianapolis
- 13 | 10:31 (phonetic) and Peter Turner will be pleased
- $14 \mid 10:31$ that I forgot their company, yes, so Fox is
- $15 \mid 10:31$ also one of our members. And at some point in
- 16 10:31 time we had other members but some of those
- $17 \mid 10:31$ companies (inaudible).
- $18 \mid 10:31$ O. Would it be fair to summarize it for
- $19 \mid 10:31$ the jury that the constituency of the MPAA is
- 20 | 10:32 essentially the major film studios that create
- 21 | 10:32 movies and many TV shows?
- 22 10:32 A. Correct.
- $23 \mid 10:32$ Q. And by the way, one studio that you
- 24 | 10:32 did mention was also Paramount, correct?
- 25 | 10:32 A. Yes.

- $1 \mid 10:32$ Q. And that one you understand is owned
- $2 \mid 10:32$ by my client, Viacom; is that correct?
- 3 10:32 A. Correct.
- 4 | 10:32 Q. What were your responsibilities --
- 5 10:32 A. That's why I said including just so
- 6 | 10:32 I wouldn't offend anyone.
- $7 \mid 10:32$ Q. What was your position, sir, at the
- 8 | 10:32 MPAA?
- $9 \mid 10:32$ A. My role at the MPAA also changed
- $10 \mid 10:32$ over time, just as it did at the RIAA. When I
- 11 | 10:32 came into the MPAA, I was essentially the head
- 12 | 10:32 litigation attorney and then over time it
- 13 | 10:32 progressed, and when I left I was an executive
- $14 \mid 10:32$ vice president and the chief strategic officer.
- 15 10:32 So I came in in a legal role. I continued
- 16 10:33 to have a legal role for much of my career
- 17 | 10:33 there but my title changed and I took on
- 18 | 10:33 broader responsibilities over time.
- 19 10:33 Q. Was one of your responsibilities at
- 20 | 10:33 the MPAA addressing issue of piracy of movies
- $21 \mid 10:33$ and television shows on the internet?
- 22 10:33 A. Yes, it was.
- 23 | 10:33 Q. Now, can you just explain again
- 24 | $^{10:33}$ briefly, you need not belabor it but for the
- $25 \mid 10:33$ ladies and gentlemen of the jury, why the film

- $1 \mid 10:33$ studios that comprise the MPAA are concerned
- 2 | 10:33 with issues of piracy or legal content on the
- 3 | 10:33 internet?
- $4 \mid 10:33$ A. Well, the in simplest terms,
- $5 \mid 10:33$ creating a movie is a very expensive
- $6 \mid 10:33$ investment. When I was at the MPAA, the
- 7 | 10:33 average cost for a movie was over \$105 million
- 8 | 10:33 and so it was a significant investment made and
- 9 10:33 certain expectations and plans for recouping
- 10 | 10:34 that investment over time through legitimate
- 11 | 10:34 channels.
- 12 10:34 So to the extent that those creative works
- 13 | 10:34 were being exploited in nonlegitimate channels,
- $14 \mid 10:34$ it would reduce the likelihood of getting a
- 15 | 10:34 return on that investment.
- 16 10:34 Q. If I could direct your attention to
- 17 | 10:34 the year 2006, did you personally engage in
- 18 | 10:34 discussions with a company called YouTube?
- 19 10:34 A. Yes, I did. I know that I did in
- $20 \mid 10:34 \mid 2006$ but the exact timing in 2006 is not
- $21 \mid 10:34$ something that I recall.
- 22 10:34 MR. BASKIN: Now, let me hand you
- 23 | $^{10:34}$ just as a matter of dating the context. Let me
- 24 $^{10:35}$ hand you what I would ask the Court Reporter to
- 25 | 10:35 mark as Garfield Exhibit 1.

- 1 | 10:35 (Garfield Deposition Exhibit No. 1 was marked
- 2 10:35 for identification.)
- 3 10:35 BY MR. BASKIN:
- 4 10:35 Q. It's a short document, Mr. Garfield.
- $5 \mid 10:35$ So just if you take a second if you will and
- 6 | 10:35 look at Garfield Exhibit 1.
- 7 | 10:35 A. (The witness complies.) Okay.
- 8 10:35 Q. Now, first, sir, just for the record
- 9 10:36 can you identify for us and confirm that
- 10 | 10:36 Garfield Exhibit 1 consists of a document
- 11 | 10:36 reflecting an E-mail chain in which you were a
- 12 | 10:36 participant in or around April, 2006?
- 13 10:36 A. Correct.
- 14 10:36 Q. Does this document -- does Garfield
- 15 | 10:36 Exhibit 1 help to establish in your mind that
- 16 | 10:36 you were in discussions with YouTube at least
- 17 | 10:36 in and around April, 2006?
- 18 10:36 A. Yes, it does.
- 19 10:36 Q. Can you just tell briefly, the
- $20 \mid 10:36$ ladies and gentlemen of the jury, what was
- 21 | 10:36 generally the topic of your discussions with
- 22 | 10:36 YouTube in and around April, 2006?
- 23 10:36 A. The discussion was about encouraging
- 24 | 10:37 YouTube to do two things; deal with content
- $25 \mid 10:37$ that we identified on the site that was

- 1 | 10:37 copyrighted, infringement content from the
- 2 | $^{10:37}$ motion picture studios; and two, and relatedly
- 3 | 10:37 integrating filtering software that would
- 4 10:37 address that copyrighted content.
- $5 \mid 10:37$ Q. Now, as of April, 2006 did the MPAA
- $6 \mid 10:37$ find that a substantial amount of the film
- 7 | 10:37 studios copyrighted content was being exhibited
- $8 \mid 10:37$ on the YouTube website?
- 9 10:37 MR. MCGILL: Objection. Leading.
- $10 \mid 10:37$ A. In April, 2006 there was a lot of
- 11 | 10:37 copyrighted content on the site that was owned
- $12 \mid 10:37$ or controlled by the motion picture studios and
- 13 | 10:37 that was one of the reasons I reached out the
- 14 | 10:37 YouTube.
- 15 10:37 BY MR. BASKIN:
- 16 10:37 Q. Now, you made reference two answers
- 17 | 10:37 ago to a desire to institute discussions
- 18 | 10:38 regarding filtering on the YouTube website. If
- 19 | 10:38 you look at Exhibit 1 for a second, you will
- $20 \mid 10:38$ see on a couple of places, certainly in the
- $21 \mid 10:38$ first on the top E-mail and on the very bottom
- 22 | $^{10:38}$ E-mail, you make reference to technical
- 23 | 10:38 discussions or technical folks, or instituting
- 24 | $^{10:38}$ technical folks into the dialogue.
- 25 10:38 Was that a reference to individuals'

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10:38	1	knowledgeable of the issue of filtering and
10:38	2	fingerprinting technologies?
10:38	3	A. Correct.
10:38	4	MR. MCGILL: Objection to the
10:38	5	characterization of the document.
10:38	6	A. Correct. As I mentioned, there was
10:38	7	a two-fold purpose to the discussion and one of
10:38	8	the purposes was to talk about integrating
10:38	9	filtering technology software. And so I think
10:38	10	on that very first call, I was the only one
10:38	11	participating while YouTube had other folks and
10:38	12	I wanted to make sure folks from our side who
10:39	13	had the technical expertise were also part of
10:39	14	the discussion.
10:39	15	BY MR. BASKIN:
10:39	16	Q. Now, I think the second E-mail on
10:39	17	Garfield Exhibit 1 references at least three
10:39	18	participants of YouTube. Was one such
10:39	19	participant a man named Chris Maxcy, M-A-X-C-Y?
10:39	20	A. Correct. Yes.
10:39	21	Q. Do you recall what Mr. Maxcy's title
10:39	22	was at YouTube at the time or what his position
10:39	23	was?
10:39	24	A. I don't recall what his title was.
10:39	25	I was introduced to Chris as a result of Chris

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10:39	1	wanting to build a stronger relationship with
10:39	2	the Motion Picture Association and
10:39	3	conversations he had with Dan Glickman, so I
10:39	4	followed up with him based on that.
10:39	5	Q. Then there was reference to two
10:39	6	other individuals, a Steven Chen. Do you see
10:39	7	that, sir?
10:39	8	A. Yes, I do.
10:39	9	Q. And a Zahavah Levine?
10:39	10	A. Yes, I do.
10:39	11	Q. Mr. Chen is denominated by Mr. Maxcy
10:39	12	as co-founder and chief technology officer of
10:40	13	YouTube. Was that your understanding in April
10:40	14	of 2006?
10:40	15	A. I don't recall if I had an
10:40	16	understanding in April, 2006.
10:40	17	Q. And Ms. Levine, Zahavah Levine is
10:40	18	identified as general counsel and vice
10:40	19	president of business affairs. Was that your
10:40	20	understanding in that time period?
10:40	21	A. I did have an understanding of
10:40	22	Zahavah's role because I knew Zahavah even
10:40	23	before this conversation.
10:40	24	Q. You knew her prior to her arrival at
10:40	25	YouTube?

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10:40	1	A. Yes, I did.
10:40	2	Q. Now, you mentioned a concept which
10:40	3	you referred to as filtering or fingerprinting
10:40	4	and the jury may sometimes here it at copyright
10:40	5	identification tools. Based on your experience
10:40	6	at the RIAA and the MPAA, were you familiar
10:40	7	with many of the filtering technologies that
10:41	8	were available as of the middle of 2006?
10:41	9	A. Yes, I was.
10:41	10	Q. Was there one such company that
10:41	11	employed or deployed filtering technology
10:41	12	called Audible Magic?
10:41	13	A. Yes.
10:41	14	Q. Were you in 2006 familiar with
10:41	15	Audible Magic technology?
10:41	16	A. Very.
10:41	17	Q. In laymens term and briefly, can you
10:41	18	explain to the ladies and gentlemen of the jury
10:41	19	what these filtering and fingerprinting
10:41	20	technologies what they do? Assuming what they
10:41	21	did in 2006 and I'll just add if it's changed a
10:41	22	lot since then, then you can tell us. But
10:41	23	going back to 2006, can you explain to the
10:41	24	ladies and gentlemen of the jury what these
10:41	25	filtering technologies or fingerprinting

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10:41	1	technologies did?
10:41	2	A. Actually, fingerprinting
10:41	3	technologies is an apt way to describe it
10:41	4	because they work in a very similar fashion to
10:42	5	a human fingerprint. So just as can recognize
10:42	6	a human fingerprint, they way they would work
10:42	7	is they would take a digital stamp of a file,
10:42	8	in this context an audio-visual file, capture
10:42	9	that visual, that fingerprint and then in
10:42	10	subsequent occasions be able to tie the
10:42	11	fingerprint to the particular audio-visual
10:42	12	content.
10:42	13	So it's a way of identifying a piece of
10:42	14	content beyond just the name of the movie or
10:42	15	the song.
10:42	16	Q. I assume companies like Audible
10:42	17	Magic use computers and technology to do these
10:42	18	matches?
10:42	19	MR. MCGILL: Objection to form.
10:42	20	A. They do use computer and other
10:42	21	technologies in order to be able to align a
10:42	22	particular audio-visual work with its digital
10:43	23	fingerprint.
10:43	24	BY MR. BASKIN:
10:43	25	Q. Now, in and around 2006, had the

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10:43	1	MPAA assessed the effectiveness of filtering on
10:43	2	fingerprint technologies in protecting the
10:43	3	movie industry's intellectual properties on
10:43	4	internet websites that deploy those
10:43	5	technologies?
10:43	6	MR. MCGILL: Objection to form.
10:43	7	Vague.
10:43	8	A. The MPAA did conduct an analysis. I
10:43	9	don't recall the exact timing of that analysis
10:43	10	and whether it were concluded in April of 2008.
10:43	11	I'm sorry 2006.
10:43	12	BY MR. BASKIN:
10:43	13	Q. In 2006 and for that matter into
10:43	14	2007, do you know what was the MPAA's
10:43	15	assessment of the effectiveness of
10:43	16	fingerprinting and filtering technologies in
10:43	17	protecting the movie industry's intellectual
10:44	18	property on websites that deploy those
10:44	19	technologies?
10:44	20	MR. KLAUS: If I can just interpose
10:44	21	it's not an objection but in the course of
10:44	22	answering the question, Mr. Garfield, if I
10:44	23	could just caution you to confine your
10:44	24	responses to matters that were publically
10:44	25	discussed or discussed with others and not to

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		1
		Page 21
10:44	1	reveal internal communications that may be work
10:44	2	product.
10:44	3	MR. BASKIN: I will strike the
10:44	4	question. That's a fair reservation on the
10:44	5	part of your counsel. Let me phrase it this
10:44	6	way:
10:44	7	In 2006 and 2007, from time to time you
10:44	8	discussed with the press the MPAA's assessment
10:44	9	of effectiveness of fingerprint technologies;
10:44	10	isn't that right sir?
10:44	11	MR. MCGILL: Objection. Leading.
10:44	12	A. I do have a recollection of speaking
10:44	13	to the press and generally publically about
10:44	14	fingerprinting technologies at some points in
10:44	15	2006. Yes.
10:44	16	BY MR. BASKIN:
10:44	17	Q. And again without belaboring the
10:45	18	deposition, can you tell the ladies and
10:45	19	gentlemen of the jury what you remember were
10:45	20	the positions you were expressing to the press
10:45	21	in and around that time period regarding the
10:45	22	effectiveness of these fingerprinting and
10:45	23	filtering technologies if websites chose to
10:45	24	deploy them?
10:45	25	MR. MCGILL: Objection. Vague.

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		Page 22
10:45	1	A. In simplest terms, the technologies
10:45	2	were highly viable and were worthy of further
10:45	3	investment and integration into audio-visual
10:45	4	sites that have an interest in discerning
10:45	5	between content that's copyrighted and content
10:45	6	that's not.
10:45	7	BY MR. BASKIN:
10:45	8	Q. Now, let's return if we can to your
10:45	9	discussions with YouTube in 2006, and at first,
10:45	10	I want to focus on the time period before its
10:45	11	acquisition by Google. Do you have well,
10:45	12	let me show you some documents, maybe that
10:45	13	would help you differentiate between those two
10:46	14	time intervals. But in your discussions with
10:46	15	YouTube in 2006, you said you were you've
10:46	16	already testified you were tempting to discuss
10:46	17	with them their deploying filtering and
10:46	18	fingerprinting on their website; is that
10:46	19	correct?
10:46	20	MR. MCGILL: Objection to the
10:46	21	characterization.
10:46	22	A. It was one of the things that we
10:46	23	were talking about. Correct.
10:46	24	BY MR. BASKIN:
10:46	25	Q. And let me show you if I can just so

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		7
		Page 23
10:46	1	we can date it. Let me show you a document
10:46	2	that we'll mark as Garfield Exhibit 2, mostly
10:47	3	for the purpose of dating your discussions. I
10:47	4	understand your memory is not crisp on the
10:47	5	dates. Is that a fair way of characterizing
10:47	6	it?
10:47	7	A. That's a fair characterization. I
10:47	8	have a firm recollection of much of our
10:47	9	conversations but the exact dates I don't have
10:47	10	a strong grasp on.
10:47	11	MR. BASKIN: Let me hand you if I can
10:47	12	what we'll mark as Garfield No. 2.
10:47	13	(Garfield Deposition Exhibit No. 2 was marked
10:47	14	for identification.)
10:47	15	BY MR. BASKIN:
10:48	16	Q. Sir, first can you identify for us
10:48	17	Garfield Exhibit 2 as consisting of again an
10:48	18	E-mail chain of which you were a participant?
10:48	19	A. Yes, I can.
10:49	20	Q. Now, using Garfield Exhibit 2 as
10:49	21	really as a dating mechanism, is it accurate
10:49	22	that your discussions with YouTube regarding
10:49	23	instituting, filtering or fingerprinting on
10:49	24	their network, on their website persisted
10:49	25	certainly into August, 2006?

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		Page 24
10:49	1	A. Yes, it did.
10:49	2	Q. Do you recall during this time
10:49	3	period whether the MPAA was discussing with
10:49	4	YouTube particular fingerprinting and filtering
10:49	5	vendors that YouTube might deploy on their
10:49	6	website to protect intellectual property of
10:49	7	movie studios?
10:50	8	A. My recollection is at some point in
10:50	9	these conversations we talked about a range of
10:50	10	technology companies that were competing in the
10:50	11	content recognition, fingerprinting phase, and
10:50	12	so yes, I don't recall discussing one company
10:50	13	with them. I recall talking to them about a
10:50	14	range of companies.
10:50	15	Q. And I'll show you some documents in
10:50	16	a few minutes that might help refresh your
10:50	17	memory but do you recall even absent some
10:50	18	documents whether one such company was Audible
10:50	19	Magic that you were discussing with them?
10:50	20	A. Yes, I do recall that.
10:50	21	Q. Let me show you and just so I
10:50	22	understand the protocol in the case.
10:50	23	David, I'm going to be showing him a
10:50	24	Google document now, which happens to be an
10:50	25	E-mail chain with him but because as I

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		1
		Page 25
10:50	1	understand that that's even though it's
10:50	2	denominated highly confidential for purpose of
10:51	3	the deposition, the stipulation allows us to
10:51	4	show witnesses documents, but I want to show it
10:51	5	to you to make sure you're comfortable in
10:51	6	showing it to him before I do. Okay?
10:51	7	MR. MCGILL: Sure. I appreciate
10:51	8	that.
10:51	9	MR. BASKIN: So why don't we mark as
10:51	10	Garfield Exhibit 3 the document in my hand.
10:51	11	I'm going to give YouTube's counsel a copy. I
10:51	12	will not give out any other copies until I
10:51	13	might give one to co-counsel.
10:51	14	MR. MCGILL: You can go ahead and
10:51	15	mark it. We have no objection.
10:51	16	(Garfield Deposition Exhibit No. 3 was marked
10:51	17	for identification.)
10:51	18	BY MR. BASKIN:
10:52	19	Q. Does Garfield Exhibit 3 help you
10:52	20	refresh your recollection when you have lunch?
10:52	21	But beyond that, can you identify Garfield
10:52	22	Exhibit 3, although it is not a document from
10:52	23	the MPAA, can you identify it again as a
10:52	24	document consisting of an E-mail chain in which
10:52	25	you are a participant in and around September,

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		Page 26
10:53	1	2006?
10:53	2	A. Yes.
10:53	3	Q. And based on that, can you confirm
10:53	4	that your discussions with YouTube regarding
10:53	5	instituting possibly filtering systems
10:53	6	continued into September, 2006?
10:53	7	A. Yes, I can.
10:53	8	Q. Now, if you look at the very last
10:53	9	E-mail on the chain, you'll see that Mr. Maxcy,
10:53	10	Chris Maxcy, wrote to you on and about
10:53	11	September 25th. "We are very close to getting
10:53	12	our fingerprinting systems licensed and wanted
10:53	13	to take you up on your offer to do some testing
10:53	14	of your members." Do you see that?
10:53	15	A. It says testing for your members. I
10:53	16	don't know if that makes a difference but.
10:53	17	Q. You're right. Thank you for that.
10:53	18	But the question that I have for you, as you
10:53	19	sit here today, do you recall which
10:53	20	fingerprinting system Mr. Maxcy advised you
10:53	21	they were very close to licensing as of the end
10:54	22	of September, 2006?
10:54	23	MR. MCGILL: Objection to the
10:54	24	characterization of the document.
10:54	25	A. I don't recall.

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		Page 27
10:54	1	BY MR. BASKIN:
10:54	2	Q. Now, I could represent to you that
10:54	3	YouTube, the acquisition of YouTube by Google
10:54	4	for approximately \$1.7 billion was announced in
10:54	5	early October, 2006 and my question for you is,
10:54	6	sir, am I correct that this testing described
10:54	7	by Mr. Maxcy on Garfield Exhibit 3 did not
10:54	8	occur as of October, 2006; is that correct,
10:54	9	sir?
10:54	10	MR. MCGILL: Objection. Lacks
10:54	11	foundation.
10:54	12	A. We did not engage in a testing with
10:55	13	them as of October, 2006.
10:55	14	BY MR. BASKIN:
10:55	15	Q. So just so the record is clear, for
10:55	16	the ladies and gentlemen of the jury, in the
10:55	17	six or seven months between April, 2006, which
10:55	18	was I believe the date on Garfield Exhibit 1
10:55	19	and October, 2006, did YouTube ever agree to
10:55	20	use available filtering technologies to protect
10:55	21	the film industry's content on its website?
10:55	22	MR. MCGILL: Objection to form. Also
10:55	23	lacks foundation.
10:55	24	A. I'm sorry. Could you read the
10:55	25	question back?
		-

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		Page 28
10:55	1	BY MR. BASKIN:
10:55	2	Q. In the six months between the time
10:55	3	you started the negotiations in April until the
10:55	4	acquisition by Google in October, and we're
10:55	5	going get to what happened post acquisition,
10:55	6	would it be fair to say that YouTube never
10:56	7	agreed to use available fingerprinting
10:56	8	technologies on its website to protect the
10:56	9	MPAA's members in intellectual property?
10:56	10	MR. MCGILL: Same objections.
10:56	11	A. To the best of my knowledge they had
10:56	12	not agreed to do that.
10:56	13	BY MR. BASKIN:
10:56	14	Q. Now, in fact in the course of your
10:56	15	negotiations with YouTube prior to the
10:56	16	acquisition by Google, did you have a
10:56	17	conversation with YouTube executives on the
10:56	18	topic of why they would not filter?
10:56	19	MR. MCGILL: Objection. Lacks
10:56	20	foundation.
10:56	21	A. We had multiple conversations about
10:56	22	that topic. Yes.
10:56	23	BY MR. BASKIN:
10:56	24	Q. And do you recall prior to October
10:56	25	2006 strike that. Can you describe to the
		_

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		Page 28
10:55	1	BY MR. BASKIN:
10:55	2	Q. In the six months between the time
10:55	3	you started the negotiations in April until the
10:55	4	acquisition by Google in October, and we're
10:55	5	going get to what happened post acquisition,
10:55	6	would it be fair to say that YouTube never
10:56	7	agreed to use available fingerprinting
10:56	8	technologies on its website to protect the
10:56	9	MPAA's members in intellectual property?
10:56	10	MR. MCGILL: Same objections.
10:56	11	A. To the best of my knowledge they had
10:56	12	not agreed to do that.
10:56	13	BY MR. BASKIN:
10:56	14	Q. Now, in fact in the course of your
10:56	15	negotiations with YouTube prior to the
10:56	16	acquisition by Google, did you have a
10:56	17	conversation with YouTube executives on the
10:56	18	topic of why they would not filter?
10:56	19	MR. MCGILL: Objection. Lacks
10:56	20	foundation.
10:56	21	A. We had multiple conversations about
10:56	22	that topic. Yes.
10:56	23	BY MR. BASKIN:
10:56	24	Q. And do you recall prior to October
10:56	25	2006 strike that. Can you describe to the
		_

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Page 2 10:56
10:57 2 can recall what reason you were given by 10:57 3 YouTube executives or executive, and we'll hash 10:57 4 out who that was in a second, as to why they 10:57 5 were not filtering in and around in 2006. 10:57 6 MR. MCGILL: Again objection. Lacks 10:57 7 foundation. 10:57 8 A. So just if I can disaggregate that and solution in the conversation with YouTube where they at various
10:57 3 YouTube executives or executive, and we'll hash 10:57 4 out who that was in a second, as to why they 10:57 5 were not filtering in and around in 2006. 10:57 6 MR. MCGILL: Again objection. Lacks 10:57 7 foundation. 10:57 8 A. So just if I can disaggregate that and the second of the
out who that was in a second, as to why they were not filtering in and around in 2006. MR. MCGILL: Again objection. Lacks MR. MCGILL: Again objection. Lacks foundation. So just if I can disaggregate that a solution of the second, as to why they and around in 2006. MR. MCGILL: Again objection. Lacks foundation. To:57 But and isaggregate that a conversation with YouTube where they at various
were not filtering in and around in 2006. MR. MCGILL: Again objection. Lacks foundation. A. So just if I can disaggregate that a bit. There were ups and flows in the conversation with YouTube where they at various
MR. MCGILL: Again objection. Lacks 10:57 7 foundation. 10:57 8 A. So just if I can disaggregate that a 10:57 9 bit. There were ups and flows in the 10:57 10:57 10 conversation with YouTube where they at various
foundation. 10:57 8 A. So just if I can disaggregate that a bit. There were ups and flows in the conversation with YouTube where they at various
10:57 8 A. So just if I can disaggregate that a 10:57 9 bit. There were ups and flows in the 10:57 10 conversation with YouTube where they at various
bit. There were ups and flows in the conversation with YouTube where they at various
conversation with YouTube where they at various
Conversacion with routuse where they at various
$^{10:57}$ 11 points in time over that six-month period, I
$^{10:57}$ 12 think it was expressed an interest but never
$^{10:57}$ 13 came to a firm agreement on integrating any
10:57 14 content recognition or fingerprinting
10:57 15 technologies.
10:57 16 At some point in those discussions when
$^{10:57}$ 17 asked what's taking so long and why hasn't this
$^{10:57}$ 18 progressed to an actual agreement, there were 3
10:58 19 range of reasons given including the fact that
the copyrighted content on YouTube was a major
$^{10:58}$ 21 lure for their users. I don't remember the
$^{10:58}$ 22 exact date of that conversation, but I firmly
$^{10:58}$ 23 recall that conversation and that being one of
$^{10:58}$ 24 the reasons offered.
$^{10:58}$ 25 I do also recall that there were

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		Page 30
10:58	1	additional reasons. I don't recall what all of
10:58	2	those other reasons were but that one stood out
10:58	3	in my mind.
10:58	4	BY MR. BASKIN:
10:58	5	Q. And who communicated to you as best
10:58	6	you can recall that a reason for not signing up
10:58	7	with filtering was because copyrighted content
10:58	8	on YouTube website was serving as a lure for
10:58	9	the users?
10:58	10	MR. MCGILL: Objection.
10:58	11	Mischaracterization.
10:58	12	A. My conversations with YouTube often
10:58	13	included multiple people, so I don't recall
10:58	14	specifically. I do recall that in that
10:58	15	conversation I think Zahavah Levine and Steve
10:59	16	Chen were a part of that discussion. And I
10:59	17	also recall that there was a third person who
10:59	18	was a technology, someone with a technology
10:59	19	expertise.
10:59	20	I don't recall which person specifically
10:59	21	said that but I do recall very strongly that
10:59	22	that was one of the reasons offered. It stood
10:59	23	out in my mind.
10:59	24	BY MR. BASKIN:
10:59	25	Q. Now, do you recall whether among the

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		,
		Page 31
10:59	1	other reasons that you mentioned being recited
10:59	2	to you was the notion that having copyrighted
10:59	3	content on their website provided YouTube with
11:00	4	a leverage in its negotiations with the movie
11:00	5	studios?
11:00	6	MR. MCGILL: Objection. Leading.
11:00	7	A. I don't recall.
11:00	8	BY MR. BASKIN:
11:00	9	Q. Now, after Google's acquisition of
11:00	10	YouTube, again I represent to you it happened
11:00	11	in October, 2006, it was announced at least I
11:00	12	think, the merger was consummated thereafter
11:00	13	but it was announced in early October, 2006.
11:00	14	Did you engage in discussions with
11:00	15	YouTube/Google on the topic of instituting,
11:00	16	filtering or fingerprinting on the YouTube
11:00	17	website?
11:00	18	A. Close to the acquisition or the
11:00	19	announcement yes. Absolutely.
11:00	20	MR. BASKIN: Just so we can date
11:00	21	things and put a little flesh on the bones, let
11:00	22	me show you what we will mark as Garfield
11:01	23	Exhibit 4.
11:01	24	(Garfield Deposition Exhibit No. 4 was marked
11:01	25	for identification.)

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		Page 32
11:03	1	A. Okay.
11:03	2	BY MR. BASKIN:
11:03	3	Q. Mr. Garfield, first once again can
11:03	4	you identify for us Garfield Exhibit 4 as
11:03	5	consisting of an E-mail and attachment or an
11:03	6	adjunct of an E-mail that was sent by you to
11:03	7	Mr. Maxcy at YouTube in and around October 12,
11:03	8	2006?
11:03	9	A. Yes, I can.
11:03	10	Q. Now, by the way, did Mr. Maxcy ever
11:04	11	tell you how it felt to be rich?
11:04	12	A. I don't recall. I recall that we
11:04	13	had some phone conversation but it was largely
11:04	14	in jest.
11:04	15	Q. Now, you will see attached at the
11:04	16	bottom of Garfield Exhibit 4 there is something
11:04	17	called denominated as a proposal through
11:04	18	October 13, 2006 copyright identification and
11:04	19	filtering pilot test. Do you see that, sir?
11:04	20	A. I do.
11:04	21	Q. Was this a test and a proposal that
11:04	22	you were proffering to Google and YouTube in or
11:04	23	around October, 2006?
11:04	24	A. Yes.
11:04	25	Q. Can you in your own words maybe in

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		Page 33
11:04	1	laymen's language explain to the ladies and
11:04	2	gentlemen of the jury what were the basic
11:05	3	content of this proposal that you proffered to
11:05	4	YouTube and Google in and around October, 2006?
11:05	5	MR. MCGILL: Objection. The document
11:05	6	speaks for itself?
11:05	7	A. As I mentioned before, the way
11:05	8	content recognition technologies generally work
11:05	9	at a high level is just like fingerprinting.
11:05	10	So with the fingerprint, you take a
11:05	11	fingerprint, that fingerprint is connected with
11:05	12	a particular person.
11:05	13	In simplified terms the same content works
11:05	14	with consent recognition which is take a
11:05	15	digital fingerprint of a file and that's
11:05	16	associated with that file, that has a name.
11:05	17	And the idea was integrating that same, those
11:05	18	same technologies into the publication process
11:05	19	at YouTube/Google.
11:05	20	So as we understood in advance of a file
11:05	21	making it up on the site after a user submits
11:05	22	it, YouTube engaged in certain processes and we
11:06	23	were suggesting simply integrating content
11:06	24	recognition into those processes as a way of
11:06	25	recognizing and then removing unless they were

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		Page 34
11:06	1	otherwise licensed, copyrighted motion picture
11:06	2	content.
11:06	3	Q. Now, if I could direct your
11:06	4	attention in particular to the second page of
11:06	5	Garfield Exhibit 4, there's reference to MPAA
11:06	6	test. Do you see that, sir?
11:06	7	A. Correct.
11:06	8	Q. And in particular the proposed test
11:06	9	contemplated using Audible Magic's music
11:06	10	filtering service in the test. Had you learned
11:06	11	by then that that was the fingerprinting
11:06	12	technology that Google and YouTube were
11:06	13	instituting or had a license institute on the
11:07	14	site?
11:07	15	MR. MCGILL: Objection.
11:07	16	Mischaracterization of the document.
11:07	17	A. I did learn at some point in time
11:07	18	that Audible Magic and YouTube had a business
11:07	19	relationship and that YouTube was working with
11:07	20	Audible Magic. I don't recall the exact date
11:07	21	and this document doesn't help me to recall.
11:07	22	BY MR. BASKIN:
11:07	23	Q. Do you recall, it's not in the
11:07	24	document, maybe you have an independent
11:07	25	recollection, do you recall approximately the

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		Page 34
11:06	1	otherwise licensed, copyrighted motion picture
11:06	2	content.
11:06	3	Q. Now, if I could direct your
11:06	4	attention in particular to the second page of
11:06	5	Garfield Exhibit 4, there's reference to MPAA
11:06	6	test. Do you see that, sir?
11:06	7	A. Correct.
11:06	8	Q. And in particular the proposed test
11:06	9	contemplated using Audible Magic's music
11:06	10	filtering service in the test. Had you learned
11:06	11	by then that that was the fingerprinting
11:06	12	technology that Google and YouTube were
11:06	13	instituting or had a license institute on the
11:07	14	site?
11:07	15	MR. MCGILL: Objection.
11:07	16	Mischaracterization of the document.
11:07	17	A. I did learn at some point in time
11:07	18	that Audible Magic and YouTube had a business
11:07	19	relationship and that YouTube was working with
11:07	20	Audible Magic. I don't recall the exact date
11:07	21	and this document doesn't help me to recall.
11:07	22	BY MR. BASKIN:
11:07	23	Q. Do you recall, it's not in the
11:07	24	document, maybe you have an independent
11:07	25	recollection, do you recall approximately the

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		Page 35
11:07	1	cost of engaging in this test what it would
11:07	2	have cost YouTube and Google to use Audible
11:07	3	Magic's service for purposes of this test?
11:07	4	MR. MCGILL: Objection. Calls for
11:07	5	speculation.
11:07	6	A. I don't recall the call structure
11:07	7	for Audible Magic. I knew it at one point but
11:07	8	I don't recall what it was, but my recollection
11:07	9	was at some point we spoke to YouTube/Google
11:08	10	about us deferring the cost.
11:08	11	In fact in the memo one of the things that
11:08	12	it points out is minimizing the out-of-pocket
11:08	13	expense. So I know that I was always mindful
11:08	14	of not just with YouTube but with all of our
11:08	15	and my overtures to use a generated sites like
11:08	16	YouTube was to make sure that whatever we
11:08	17	propose were dealing with copyright
11:08	18	infringement was cost efficient for the site,
11:08	19	if you will. So not adding a significant, new
11:08	20	expense to their operating cost and that was
11:08	21	the same in this context with YouTube.
11:08	22	Q. And I'm going to show you in a
11:09	23	second a second iteration from this proposal
11:09	24	from about a month later. Do you recall
11:09	25	whether your offer to have the MPAA defray the

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		Page 40
11:16	1	BY MR. BASKIN:
11:16	2	Q. Nothing further except for one line
11:16	3	in the document. If you turn in the middle of
11:17	4	the first page you will see that Mr. Kelly
11:17	5	Liang wrote, "We look forward to launching a
11:17	6	content filtering pilot with the MPAA some time
11:17	7	towards the end of the year." Do you see that,
11:17	8	Mr. Garfield?
11:17	9	MR. MCGILL: Objection. Document
11:17	10	speaks for itself.
11:17	11	A. Yes, I do see that.
11:17	12	BY MR. BASKIN:
11:17	13	Q. And do you remember who Mr. Liang
11:17	14	was at this point in time? I think he was
11:17	15	introduced in an earlier E-mail?
11:17	16	A. I do recall. My recollection was
11:17	17	that Chris transitioned some of the
11:17	18	conversation and his involvement to Kelly who
11:17	19	in addition to I think having some business
11:17	20	role also had some technical expertise and so
11:17	21	there were other folks from Google/YouTube who
11:17	22	continued to be a part of the conversation but
11:17	23	Kelly helped to drive a lot of it over this
11:18	24	ladder part of the year.
11:18	25	MR. BASKIN: Now, in that period, I'd

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11:18	1	like to show you next what we will mark as
11:18	2	Garfield Exhibit 7.
11:18	3	(Garfield Deposition Exhibit No. 7 was marked
11:20	4	for identification.)
11:20	5	A. I traveled a lot when I worked at
11:20	6	the MPAA, that's clear from these E-mails. So
11:20	7	I'm ready. I have reviewed it.
11:20	8	MR. BASKIN: You mean you physically
11:20	9	traveled to
11:20	10	A. Yes. Every evening it says I'm on
11:20	11	the road or I'm traveling here or traveling
11:20	12	there.
11:20	13	BY MR. BASKIN:
11:20	14	Q. First, can you identify for us
11:20	15	Garfield Exhibit 7 as an E-mail and
11:20	16	accompanying proposal that you E-mailed to
11:21	17	Kelly Liang in and around November 8, 2006?
11:21	18	A. Yes.
11:21	19	Q. Now, based on the E-mail paragraph
11:21	20	beginning Hi Kelly, it makes reference to "I am
11:21	21	attaching below a revised proposal based on our
11:21	22	last discussion as well as the RFI we
11:21	23	discussed." Do you see that, sir?
11:21	24	A. I do.
11:21	25	Q. Can you just explain, first of all

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11:21	1	to the ladies and gentlemen of the jury, what
11:21	2	the reference to RFI is?
11:21	3	A. The reference to RFI is a reference
11:21	4	to a request for information and I don't know
11:21	5	if that's an apt term, it's a term that we used
11:21	6	at the time. It was really a request for
11:21	7	proposals in around that time the MPAA
11:22	8	sponsored and ran a request for proposals
11:22	9	around content recognition technologies.
11:22	10	Q. And as you sit here now, other than
11:22	11	the reference in that paragraph do you recall
11:22	12	the proposal dated November 9, 2006 was a
11:22	13	result of your discussions with Mr. Liang?
11:22	14	A. Yes. There were changes in the
11:22	15	document that reflect our conversation and some
11:22	16	of those changes are reflected in the end of
11:22	17	the document.
11:22	18	Q. For example, if you turn to page
11:22	19	that's Bates, the second page of the document,
11:22	20	it appears that the test period from the first
11:22	21	proposal to the second has grown from 30 to 45
11:22	22	days. Is that one of the changes that you were
11:22	23	just referring?
11:22	24	A. Yes, it is, as well as coming up
11:22	25	with Key Metrics, which I recall that YouTube

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11:23	1	and Google were interested in and we are were
11:23	2	as well, so it wasn't a huge deal to agree
11:23	3	that's something that should be included.
11:23	4	Q. Can you tell again the ladies and
11:23	5	gentlemen of the jury what you mean by Key
11:23	6	Metrics, what that phrase refers to?
11:23	7	A. It was an attempt to have clarity
11:23	8	going into the pilot and how we would evaluate
11:23	9	whether the tests worked and were successful,
11:23	10	and so defining the measurements up front would
11:23	11	prevent misunderstandings midway or after the
11:23	12	pilot.
11:23	13	Q. Now, there is a reference under test
11:23	14	parameters one of the metrics was number
11:23	15	fingerprints generated from manual review
11:23	16	(blacklist and white list). Do you see that?
11:24	17	A. I do.
11:24	18	Q. The reference to blacklist or white
11:24	19	list was also found in a couple of bullet
11:24	20	points above that you'll see as well. Can you
11:24	21	explain to the ladies and gentlemen of the jury
11:24	22	what was meant by blacklist and white list?
11:24	23	MR. MCGILL: Objection. Calls for
11:24	24	speculation.
11:24	25	A. I was the one who was largely

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11:24	1	drafting this document, so I can tell you how I
11:24	2	was using the terminology. So there I guess
11:24	3	there are multiple ways but two popular ways of
11:24	4	dealing with recognizing content and then
11:24	5	filtering it in or out. So the filtering
11:24	6	process is essentially like a strainer and so
11:24	7	you have content going through the strainer;
11:24	8	some things make it through the strainer and
11:24	9	some things end up being caught in the
11:25	10	strainer.
11:25	11	If you take a blacklist approach, then you
11:25	12	identify a list of stuff that should be
11:25	13	excluded out and that should be caught in the
11:25	14	strainer. If you take a white list approach,
11:25	15	you create a list of stuff that should make it
11:25	16	true as opposed to a list that should be kept
11:25	17	out. That's the blacklist versus white list.
11:25	18	Was that clear?
11:25	19	Q. Well, we're going to go into it a
11:25	20	little bit more but it was certainly a good
11:25	21	first articulation. So let's take it a little
11:25	22	bit further. If I understand your answer, you
11:25	23	were saying that the blacklist would consist of
11:25	24	fingerprints of videos which the studios would
11:25	25	disapprove for uploading and hence would be

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11:25	1	captured by the strainer; is that correct, sir?
11:25	2	A. Correct.
11:25	3	Q. And by contrast, the white list
11:25	4	would consist of fingerprints of videos which
11:26	5	the studios authorized or approved for
11:26	6	uploading and hence, they would pass through
11:26	7	the strainer; is that correct?
11:26	8	A. Correct.
11:26	9	Q. Why might a studio choose to have a
11:26	10	white list, have it placed on a white list
11:26	11	strike that. Why might a studio choose to have
11:26	12	placed on a white list videos that were
11:26	13	authorized to be uploaded on the website?
11:26	14	A. Well, I can just tell you what I
11:26	15	knew based on my using the language which is
11:26	16	that there were, it was simply a recognition of
11:26	17	fact that the studios were authorizing and
11:27	18	doing deals with sites like YouTube, Google
11:27	19	where they would authorize certain content to
11:27	20	be used.
11:27	21	So in order for this to be effective so as
11:27	22	not to train out or eliminate content that the
11:27	23	studios actually wanted to make available on
11:27	24	any of these sites, we would have that approach
11:27	25	of having a white list.

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11:27	1	Q. So to sort of sum up for the jury,
11:27	2	the second proposal after your discussions with
11:27	3	Mr. Liang contemplated that this fingerprinting
11:27	4	and filtering technology would be used to
11:27	5	distinguish between videos that were uploaded
11:27	6	with authorization and videos that should be
11:27	7	blocked because they were uploaded without
11:27	8	authorization; is that correct?
11:27	9	MR. MCGILL: Objection to the
11:27	10	characterization and the leading nature.
11:27	11	A. I'm sorry. I just misheard you.
11:27	12	Could you just say it again? I just want to
11:28	13	make sure I heard you properly?
11:28	14	BY MR. BASKIN:
11:28	15	Q. This proposal as of November, 2006
11:28	16	contemplated using fingerprinting and filtering
11:28	17	technology to distinguish between videos that
11:28	18	were being uploaded with the permission of the
11:28	19	studios versus videos that were being uploaded
11:28	20	without authorization and permission?
11:28	21	MR. MCGILL: Same objection.
11:28	22	A. Yes. Correct. That was the
11:28	23	contemplation. Just one thing on Kelly Liang.
11:28	24	We've been saying mister. I don't recall if
11:28	25	Kelly is a man or a woman. Sorry.

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11:37	1	pilot and their filtering processes would be
11:37	2	used for their business partners and those who
11:37	3	established a licensing relationship with
11:37	4	Google/YouTube but not with the studios
11:37	5	generally.
11:37	6	BY MR. BASKIN:
11:38	7	Q. The jury may not understand what you
11:38	8	mean or what they meant by the fact that the
11:38	9	technology, the filtering technology would be
11:38	10	reserved for their business or licensing
11:38	11	partners. Can you explain to the ladies and
11:38	12	gentlemen of the jury what that means, sir?
11:38	13	What was meant by licensing and business
11:38	14	partners?
11:38	15	A. The way I interpreted it was we were
11:38	16	having a conversation earlier about the white
11:38	17	list and the blacklist and filtering and
11:38	18	filtering out. The studios developed, marketed
11:38	19	movies, television shows, they then make a
11:38	20	decision on partners with whom they're going to
11:38	21	exploit those copyrighted works, so market and
11:38	22	distribute those copyrighted works.
11:39	23	So Google essentially conveyed that they
11:39	24	would work on getting authorization from the
11:39	25	studios and licenses from the studios and

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11:39	1	others and those who would license, they would
11:39	2	then in the context of that licensing
11:39	3	arrangement work in integrate filtering. But
11:39	4	for those companies who were not and did not
11:39	5	develop a licensing arrangement with Google,
11:39	6	they weren't going to be doing this sort of a
11:39	7	pilot initiative or filtering.
11:39	8	MR. BASKIN: I think we have to break
11:39	9	for the tape. Shall we break for the tape now?
11:39	10	THE VIDEO OPERATOR: This is the end
11:39	11	of tape 1. Off the record at 11:39.
11:49	12	This is the beginning of tape 2 in the
11:49	13	deposition of Mr. Garfield. On the record at
11:49	14	11:49.
11:49	15	BY MR. BASKIN:
11:50	16	Q. Sir, again to help you with the
11:50	17	dates a little bit. Let me show you what we
11:50	18	will mark as Garfield Exhibit 10.
11:50	19	(Garfield Deposition Exhibit No. 10 was marked
11:51	20	for identification.)
11:51	21	A. Okay. I have read it.
11:51	22	BY MR. BASKIN:
11:51	23	Q. Sir, first, again can you identify
11:51	24	for us Garfield Exhibit 10 as consisting of an
11:51	25	E-mail chain in which you were a participant

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- 1 | 12:11 date?
- $2 \mid 12:11$ A. Just to be clear, I left the MPAA in
- $3 \mid 12:11$ at the end of 2008, so it was about a year, a
- 4 | 12:11 little over a year after the case was filed I
- $5 \mid 12:11$ was gone. So we talked about it intermittently
- 6 | 12:11 but it wasn't a constant topic of conversation
- 7 | $^{12:11}$ and the MPAA wasn't involved in the litigation,
- $8 \mid 12:11$ so there wasn't a reason for me to talk about
- $9 \mid 12:11$ it a lot or frequently.
- $10 \mid 12:11$ Q. I take it the reason that you have
- 11 | 12:11 spoken with him on occasion about it was
- 12 | 12:11 because Paramount pictures was one of the
- 13 | 12:11 member studios of the MPAA; is that correct?
- 14 | 12:11 A. Correct. Correct.
- 15 | 12:11 Q. And I think we established this
- 16 | 12:11 already, Paramount is owned by Viacom?
- 17 | 12:11 A. Correct.
- 18 | 12:11 Q. I think you testified earlier that
- 19 | 12:11 your position at the MPAA was executive vice
- 20 | 12:11 president but did you also hold the title of
- 21 | 12:11 chief strategic officer?
- 22 | 12:11 A. Yes, I did.
- $23 \mid 12:11$ Q. And when did you get that title if
- $24 \mid 12:12$ you can recall?
- 25 | 12:12 A. My recollection was that it was

- 1 | 12:12 late -- I would like to say it was late 2005
- $2 \mid 12:12$ but I really don't recall. I'm sorry.
- 3 | 12:12 Q. Now, putting aside the specific
- $4 \mid 12:12$ issue of this litigation I take it that in your
- 5 | 12:12 capacity as chief strategic officer for the
- 6 | 12:12 MPAA you were in regular communication with
- 7 | 12:12 Viacom about copyright enforcement issues; is
- $8 \mid 12:12$ that fair to say?
- 9 12:12 A. Yes. That's fair to say.
- $10 \mid 12:12$ Q. And what are some of the topics that
- 11 | 12:12 you would discuss within that overall framework
- 12 | 12:12 with Viacom?
- 13 | 12:12 MR. KLAUS: I would just caution you
- 14 | 12:12 that in the course of your communications with
- 15 | 12:12 Viacom, to the extent those reflect privileged
- 16 12:12 communications you should not reveal them and
- 17 | 12:12 if you have a question about how far down from
- 18 | 12:13 the very general topic of copyright enforcement
- 19 | 12:13 do some specific topics go, that's something we
- 20 | 12:13 can step outside and try to disentangle.
- 21 12:13 MR. MCGILL: Just to make sure the
- $22 \mid 12:13$ record is clear, your position is that any
- 23 | 12:13 privileged information that was related to
- $24 \mid 12:13$ Viacom from the MPAA would retain its
- 25 | 12:13 privileged nature?